

PATENT AND TRADEMARK OFFICE

Page 1 of 2

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

8-6-04

ATTORNEY DOCKET NUMBER

10/737,318

12/15/2003

David W. Morris

529452002800

25226 **MORRISON & FOERSTER LLP** 755 PAGE MILL RD PALO ALTO, CA 94304-1018

CONFIRMATION NO. 1869 FORMALITIES LETTER *OC000000012521649*

Date Mailed: 05/04/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/09/2004 YPOLITE1 00000010 031952 10737318

FILED UNDER 37 CFR 1.53(b)

01 FC:2051

65.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

19 + 2

CD's

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/737,318 Filing Date December 15, 2003 First Named Inventor David W. MORRIS Art Unit 1645 Examiner Name Not Yet Assigned Attorney Docket Number 529452002800

ENCLOSURES (Check all that apply)							
Fee Transmittal Form (1 page in duplicate)	Drawing(s)	After Allowance Communication to Group					
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences					
X Amendment/Reply (3 pages)	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final	Petition to Convert to a Provisional Application	Proprietary Information					
Affidavits/declaration(s)	X Power of Attorney, Revocation Change of Correspondence Address (1 page)	Status Letter					
X Extension of Time Request (1 page)	l — ` · · · ·	X Other Enclosure(s) (please identify below):					
Express Abandonment Request	Request for Refund	Notice to File Missing Parts – Part 2 (2 pages)					
Information Disclosure Statement	X CD, Number of CD(s) 2	Declaration signed in counterpart (4 pages total) Statement Under 37 CFR 3.73(b)					
Certified Copy of Priority Document(s)		w/copy of Assignment (3 pages total) 4. Transmittal and Statement to Support Filing (2 pages) 5. Return receipt postcard					
X Response to Missing Parts/ Incomplete Application	Remarks	o. Return receipt postears					
Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGNAT	URE OF APPLICANT, ATTORNEY, OF	RAGENT					
irm MORRISON & FOERSTER LLP (Customer No. 25226) Shantanu Basu - 43,318							
Signature Shartany Laty							
Date August 4, 2004							
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in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: August 4, 2004

(Tia Zimmerman)

PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective 10/01/2003, Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 120.00

Complete if Known				
Application Number	10/737,318			
Filing Date	December 15, 2003			
First Named Inventor	David W. MORRIS			
Examiner Name	Not Yet Assigned			
Art Unit	1645			
Attorney Docket No.	529452002800			

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)							
Check Credit Money Other None					3. A	DDITIO	ONAL	FEES				
X Depos	it Accou	nt:										
Deposit F						\neg		Entity		Entity	_	
Account Number 03-1952					Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Deposit Account Morrison & Foerster LLP					1051	130	2051	65	Surcharge – late filing fee or oath	65.00		
Name The Director	ls autho	orized	to: (ch	eck all that	apply)		1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet.	
X Charge	fee(s) inc	dicated	below	x c	Credit any	overpayments	1053	130	1053	130	Non-English specification	
X Charge	any addi	tional fe	e(s) or	any under	payment of	fee(s)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	1
Charge	fee(s) inc	dicated	below, e	except for	the filing	fee	1804	920°	1804	920*	Requesting publication of SIR prior to Examiner action	
to the above	-identifie	d depo	sit acc	ount.			1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
				ULATIC	N		1251	110	2251	55	Extension for reply within first month	
1. BASIC	FILING	FEE					1252	420	2252	210	Extension for reply within second month	55.00
Large Entity		Entity					1253	950	2253	475	Extension for reply within third month	
Fee Fee Code (\$)	Code	Fee (\$)	<u>F</u>	ee Descr	iption	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770	2001	385	U	tility filing	fee		1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340	2002	170	D	esign filin	g fee		1401	330	2401	165	Notice of Appeal	
1003 530	2003	265	Р	lant filing f	ee		1402	330	2402	165	Filing a brief in support of an appeal	
1004 770	2004	385	R	eissue fili	ng fee		1403	290	2403	145	Request for oral hearing	
1005 160	2005	80	Р	rovisional	filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
		SUB	ΤΩΤΔ	L (1)	(\$)	0.00	1452	110	2452	55	Petition to revive – unavoidable	
				- (., _	(Ψ)	0.00	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA	CLAIN	1 FEE	S FO		TY AND	REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
			Clai		elow	Fee Paid	1502	480	2502	240	Design issue fee	
Total Claims	72	-72**	= 0) x	9.00 =	0.00	1503	640	2503	320	Plant issue fee	
Independent Claims	30	-30**	= [7 x 7	3.00 =	0.00	1460	130	1460	130	Petitions to the Commissioner	
Multiple Depe	ndent		L		45.00 =	0.00	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity	Small	Entity					1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Code (\$)	Fee Code	Fee (\$)	•	Fee I	Description	<u>on</u>	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 1201 86	2202 2201	9		s in excess		of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1201 86	2201	43 145		endent cla le depend		if not paid	1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1204 86	2204	43		ssue inder		aims	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18	2205	9		r original p ssue claim		ss of 20	1802	900	1802	900	Request for expedited examination of a design application	
			and	over origi	nal patent	t	Other	fee (spe	cify)			
	SUBTOTAL (2) (\$) 0.00				*Redu	ced by	Basic Fi	iling Fee	e Paid SUBTOTAL (3) (\$)	120.00		
**or number previously paid, if greater; For Reissues, see above												

SUBMITTED BY			(Complete (if applicable))			
Name (Print/Type)	Shantanu Basu	Registration No. (Attorney/Agent) 43,318	Telephone	Telephone (650) 813-5995		
Signature	Sheerteny Scan		Date	August 4, 2004		